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Intergovernmental  
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Commission



Strengthening stewardship through cooperation in an economically and biologically significant high seas area – the Sargasso Sea

Validation Workshop

## Agenda Item 7

Next Steps, UNDP Oversight Role & GEF Reporting Requirements

# NEXT STEPS

- Validation meeting
- Any final amendments and checks on Project Document & CEO Endorsement Request, all annexes (including Letters of Endorsement)
- Social & Environmental Screening clearance (currently under revision for UNDP)
- UNDP Checklist clearance (internal related to audit matters)
- UNDP Technical & Financial clearance (Oct 2021)
- Submission to GEF (Deadline: 3 Dec 2021)
- GEFSEC sends review sheet (Dec 2021-Jan 2022)
- Address GEF review (UNDP, Sargasso Sea Secretariat, Project Development Consultant) – 2-3 weeks
- Resubmit for CEO Endorsement (Jan-Feb 2022); deadline FOR CEO endorsement: 3 June 2022)
- CEO Endorsement
- Project Document signature (UNDP, IOC) – within two weeks of CEO endorsement
- Recruitment of project team (IOC w UNDP support); project begins implementation
- Establishment of a coordination mechanism at the Programme level

# UNDP Project Implementation Oversight

## *Inception oversight*

- Participate in recruitment process for CTA
- Participate in Inception Workshop
- Clear Inception Workshop report

## *Monitoring oversight*

- Quality assurance of GEF PIR
- Identification/flag project risks and escalate as needed
- Manage escalated risks with Regional Bureau or BPPS as appropriate
- Monitor projects to ensure activities comply with project document endorsed by GEF
- Troubleshooting including via oversight missions as required

## *Financial oversight*

- Review Annual Work Plan (AWP) and clear for Authorized Spending Limit (ASL)
- Provide technical input to TORs when requested by PCU/Implementing Partner

# UNDP Project Implementation Oversight

## *Mid term review oversight*

- Advise on evaluation consultants. Use centralized MTR roster when needed.
- Clear mid-term review report
- Clear management response
- Quality assurance of GEF core indicators

## *Oversight of terminal evaluation:*

- Advise on evaluation consultants
- Clear terminal evaluation report
- Clear management response
- Quality assurance of GEF core indicators
- Compilation of lessons and consolidation of learning
- Participate as necessary in other UNDP and GEF evaluations

## *Oversight of project extension:*

- Approve project extension – only one extension per project for maximum of 12 months (6 months for GEF-7 projects)

## *Oversight of project closure:*

- Advisory services as required
- Quality assurance
- Return of unspent funds to donor



# GEF Reporting Requirements

## Project Implementation Reviews:

Annual monitoring of project progress toward its development objective/outcomes and Implementation. The PIR also reveals strengths & weakness in implementation and areas for growth. It also aims to identify any required corrective actions. PIR also requires updating on progress towards the project's contribution to the GEF Core Indicators

## Mid-Term Review:

The MTR will assess progress towards the achievement of the project objectives and outcomes as specified in the Project Document and assess early signs of project success or failure with the goal of identifying the necessary changes to be made in order to set the project on-track to achieve its intended results

## Terminal Evaluation:

Terminal evaluations assess the efficiency and effectiveness of a project in achieving its intended results. The evaluation also assess the relevance and sustainability of outputs as contributions to medium and long-term outcomes, as well as drawing lessons that can both improve the sustainability of benefits from this project, and aid in the overall enhancement of UNDP programming.